

11-28-01

Received



Express Mail Label No.: EL862127771US  
Date of Deposit: November 26, 2001

Attorney Docket No.23135-501 CIP (NOV-1 CIP))

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: Francois Mach  
ASSIGNEE: NOVIMMUNE S.A.  
APPLICATION NUMBER: 09/960,471  
FILING DATE: September 19, 2001  
FOR: Statins (HMG-CoA Reductase Inhibitors) as a Novel Type of Immunomodulator, Immunosuppressor and Anti-Inflammatory Agent

Application Processing Division's Customer Correction Branch  
Commissioner for Patents  
P.O. Box 2327  
Arlington, VA 22202

**TRANSMITTAL LETTER**

Transmitted herewith for filing in this patent application are the following documents:

- Request for corrected filing receipt;
- Copy of filing receipt marked with corrections; and
- Return postcard.

If the enclosed papers are considered incomplete, the Mail Room and/or the Application Branch is respectfully requested to contact the undersigned at 617-542-6000, Boston, Massachusetts.

The Commissioner is authorized to charge any additional fees that may be due to the undersigned's account, Deposit Account No. 50-0311, Ref. No. 23135-501CIP. A duplicate copy of this transmittal letter is enclosed herewith.

Respectfully submitted,

Wor R. Elrifi, Reg. No. 39,529  
Nicholas P. Triano, III, Reg. No 36,397  
Attorneys for Applicant  
c/o MINTZ, LEVIN, COHN, FERRIS,  
GLOVSKY and POPEO, P.C.  
One Financial Center  
Boston, Massachusetts 02111  
Tel: (617) 542-6000  
Fax: (617) 542-2241



30623  
PATENT TRADEMARK OFFICE

Date: November 26, 2001

O I P E  
Express Mail Label No.: E1362127771US  
Date of Deposit: November 26, 2001

#4  
Attorney Docket No. 23135-501 CIP (NOV-1 CIP)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: Francois Mach  
ASSIGNEE: NOVIMMUNE S.A.  
APPLICATION NUMBER: 09/960,471  
FILING DATE: September 19, 2001  
FOR: Statins (HMG-CoA Reductase Inhibitors) as a Novel Type of Immunomodulator, Immunosuppressor and Anti-Inflammatory Agent

Application Processing Division's Customer Correction Branch  
Commissioner for Patents  
P. O. Box 2327  
Arlington, VA 22202

**REQUEST FOR CORRECTED FILING RECEIPT**

1. Applicants request a Corrected Filing Receipt for the above-mentioned patent application. Applicants enclose a copy of the Filing Receipt with the corrections noted thereon. Issuance of a corrected filing receipt is respectfully requested.
2. There are errors with respect to the following data, which are:  
 incorrectly entered and/or  
 omitted.

*Error in*

- Attorney Docket No.  
 Fee Received  
 Attorney Name

*Correct data*

- 23135-501 CIP (NOV-1 CIP)  
\$4,870  
Ivor R. Elrifi, Ph.D.

Respectfully submitted,

Ivor R. Elrifi, Reg. No. 39,529  
Nicholas P. Triano, III, Reg. No 36,397  
Attorneys for Applicant  
c/o MINTZ, LEVIN, COHN, FERRIS,  
GLOVSKY and POPEO, P.C.  
One Financial Center  
Boston, Massachusetts 02111

Date: November 26, 2001



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY.DOCKET.NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
--------------------	-------------	--------------	---------------	----------------	----------	------------	------------

09/960,471	09/19/2001	1617	-4760-	23135-501	25	93	21
------------	------------	------	--------	-----------	----	----	----

4870 C NOV-1 CLP)

CONFIRMATION NO. 6761

Ivor R. Elrifi, Ph.D.  
MINTZ, LEVIN, COHN, FERRIS, GLOVSKY  
and POPEO, P.C.  
One Financial Center  
Boston, MA 02111

## FILING RECEIPT



OC000000006991805

**RECEIVED**

OCT 31 2001

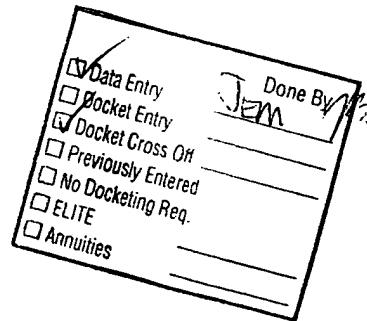
Date Mailed: 10/29/2001

MINTZ LEVIN, BOSTON  
PATENT DOCKET DEPT.

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

**Applicant(s)**

Francois Mach, Vesenaz, SWITZERLAND;

**Assignment For Published Patent Application**

NovImmune S.A.;

**Domestic Priority data as claimed by applicant**

THIS APPLICATION IS A CIP OF 09/664,871 09/19/2000

**Foreign Applications****If Required, Foreign Filing License Granted 10/26/2001****Projected Publication Date:** To Be Determined - pending completion of Missing Parts**Non-Publication Request:** No**Early Publication Request:** No**Title**

Statins (HMG-CoA reductase inhibitors) as a novel type of immunomodulator, immunosuppressor and anti-inflammatory agent